



FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/606,910	06/29/2000	Uwe Karsten	0107-027P

23622
GABRIEL P KATONA, LLP
708 THIRD AVE, 14TH FLOOR
NEW YORK, NY 10017

Date Mailed: 08/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 950.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

M. H. [Signature]

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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 355.00 OP
 65.00 OP
 130.00 OP
 09/18/00 09:58:05
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Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Karsten, et al.

Ser. No. 09/606,910

Filed on June 29, 2000

For TUMOR VACCINES FOR MUCI-POSITIVE CARCINOMAS

Attorney's Docket 0107-027P

Box Missing Parts - Fee
Hon. Commissioner of Patents and Trademarks
Washington DC 20231

Submission of Missing Parts of Application

Sir:

In response to the Notice of Missing parts, please find enclosed the following:

- (1) Translation of application with certificate of accuracy;
- (2) Declaration;
- (3) Cover sheet with assignment and \$40 credit card charge authorization;
- (4) Certified copy of German application priority of which was claimed;
- (5) Small entity status claim form;
- (6) Credit card charge authorization form for \$550 (\$355 filing fee; \$130 foreign language application fee; \$65 late surcharge);
- (7) Preliminary amendment with new drawing and substitute disclosure;

together with a copy of the Notice to File Missing Parts.

GABRIEL P. KATONA L.L.P.
708 Third Avenue, 14th Floor
New York 10017
(212)370-4000

Respectfully submitted,

Gabriel P. Katona
attorney of record

I hereby certify that this correspondence is deposited with the U.S. Postal Service as first class mail, addressed as above, on October 18, 2000

Cynthia A. Pilato



Patentanwalt
Dr. F. Baumbach
Robert-Rössle-Str. 10
D-13125 Berlin

Dr. F. Baumbach, Robert-Rössle-Str. 10, 13125 Berlin

Certificate

I, Patent Attorney Dipl.-Chem. Dr. Friedrich Baumbach

declare that I am competent in the German and English languages and I do hereby certify, that the annexed document is the best of knowledge and belief true and correct translation of the

PCT/DE98/03819 – WO 99/34824.

Declared at Berlin
this 28th day of September 2000.

Patent Attorney Dr. F. Baumbach

Tel.: +49-30-94892273
+49-30-94892274
Fax: +49-30-94892271

Bankverbindung: Berliner Sparkasse
BLZ: 10050000
Kto.-Nr.: 1953238820